

**UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

**Notice of Class Action Settlement and Fairness Hearing**

**You have been identified as a participant or beneficiary in your employer’s 401(k) retirement savings plan through which you were invested at relevant times in certain JPMorgan stable value funds. You could get a payment from this Class Action Settlement.**

*The Court has authorized this Notice. This is not a solicitation from a lawyer. Neither you nor your retirement plan is being sued.*

**I. PLEASE READ THIS SETTLEMENT NOTICE CAREFULLY**

The purpose of this Notice is to inform you the Court has given its preliminary approval to a proposed settlement (the “Settlement”) of a class action brought by certain individuals whose 401(k) plan accounts included investments in the JPMorgan stable value funds. The action is entitled *In re: J.P. Morgan Stable Value Fund ERISA Litigation*, Case No. 12-CV-2548 (the “Action”), currently pending before the Honorable Vernon S. Broderick of the United States District Court for the Southern District of New York (the “Court”). The Court has given its preliminary approval to the Settlement. You should read this Notice carefully, because your legal rights are affected whether you act or do not act.

The Plaintiffs brought the Action alleging violations of the Employee Retirement Income Security Act (“ERISA”), concerning the way Defendants JPMorgan Chase & Co. and other JPMorgan entities (“Defendants” or “JPMorgan”) managed the Class Members’ 401(k) plan investments that were allocated to certain JPMorgan stable value funds.

JPMorgan denies all claims, and nothing in the Settlement is an admission or concession on JPMorgan’s part of any fault or liability whatsoever.

In its March 31, 2017, Memorandum Opinion and Order, the Court certified a class and three subclasses of individuals who were participants or beneficiaries in their employer's 401(k) plans and had some of their investments allocated to a stable value fund managed by JPMorgan during the class periods. (The definition of the Class and the Class periods is set forth below in Section II, "Definition of the Class.") The names of the 401(k) plans through which Class Members allocated retirement assets to JPMorgan stable value funds are listed in Attachment 1 to this Notice.<sup>1</sup>

**A. The Settlement**

As part of the Settlement, a fund of \$75,000,000 (the "Settlement Amount") has been established to resolve the Action. From that \$75,000,000 will first be paid any administrative expenses, taxes, tax expenses, Court-approved attorneys' fees and costs, Class Representative service awards, and other approved expenses of the litigation. Then, the remaining Settlement Amount (called the "Net Settlement Amount") will be allocated to Class Members according to the Plan of Allocation to be approved by the Court. Shortly after the Court preliminarily approved the Settlement, JPMorgan deposited the \$75,000,000 Settlement Amount in an interest-bearing Qualified Settlement Fund. Upon final approval of the Settlement by the Court, the interest accrued on the Settlement Fund will be added to the Settlement Amount in order to benefit the Class.

The Settlement provides, among other things, for the allocation of monies directly into the individual accounts of Class Members who had an account with a positive balance (an "Active

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<sup>1</sup> Attachment 1 lists the plans which, according to information available at the time of the Settlement, offered a JPMorgan stable value fund during the class period. The plans identified on Attachment 1 may change based on the subsequent investigation of the parties.

Account”) in one of the 401(k) plans that are listed in Attachment 1 as of the most current participant data received by Class Counsel (“Current Participants”).

Class Members who are entitled to a distribution but who no longer have an “Active Account” in one of the Plans listed in Attachment 1 (“Former Participants”) will receive their allocation by check mailed to their last known address or by rollover if available and elected.

**Our records indicate that you are a Former Participant. You must return a Claim Form by July 9, 2019 to participate in the Settlement. (See answer to Question No. 6 below for more information.)**

**B. Statement of Attorneys’ Fees and Costs in the Class Action**

Co-Lead Class Counsel, and the firms assisting them, have devoted many hours to bringing this case and pursuing it for the past seven years. During that time, they also have advanced costs for expert consulting services, substantial investigation, intensive document analysis, and other costs necessary to pursue the case. Co-Lead Class Counsel’s motion for class certification was granted by the Court on March 31, 2017. Co-Lead Class Counsel successfully defended JPMorgan’s petition for an immediate appeal of the Class Certification Order, which the Second Circuit denied. Co-Lead Class Counsel engaged in substantial investigation and analysis of the law and facts concerning the Action in opposing JPMorgan’s motion for summary judgment. This work resulted in the monetary benefits provided in the Settlement. Co-Lead Class Counsel took the risk of litigation and have not been paid for any of their time or reimbursed for any of the costs that they have incurred during the more than seven years that the Action has been pending before the Court. Co-Lead Class Counsel also have agreed to undertake the additional risk of paying some of the administrative costs of the settlement process if the Settlement is not approved.

Co-Lead Class Counsel will apply to the Court for payment of attorneys' fees and costs for their work in the case. Co-Lead Class Counsel will request fees not to exceed one-third of the \$75,000,000 Settlement Amount and will request reimbursement of litigation costs not to exceed \$1,750,000. Any attorneys' fees and costs awarded by the Court to Co-Lead Class Counsel will be paid from the Qualified Settlement Fund. Co-Lead Class Counsel will not seek to receive any interest earned by the Qualified Settlement Fund, which will be added to the Net Settlement Amount.

As is customary in class action cases in which the Class Representatives have spent time and effort on the litigation, Co-Lead Class Counsel also will ask the Court to approve Service Award payments, not to exceed \$20,000, for each of the 12 Class Representatives who took on the risk of litigation, provided discovery, and committed to spend the time necessary to bring the case to conclusion. Their activities also included assisting in the factual development of the Action.

## **II. DEFINITION OF THE CLASS**

You are deemed to be part of the Class and a participant in this Action if you fit into one or more of the Class or Subclass definitions below, unless you expressly exclude yourself from the Class in writing. (See answer to Question No. 8 below.)

By order dated March 31, 2017, the Court certified this case to proceed on behalf of a class defined as follows:

All participants of ERISA plans, as well as beneficiaries of those plans, who were invested directly or indirectly in any JPM Stable Value Fund that invested in the JPM Intermediate Bond Fund and/or the Intermediate Public Bond Fund between January 1, 2009 and December 31, 2010 and whose stable value fund investment performance underperformed the Hueler index or similar objective benchmark. Excluded from the Class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related

to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

In addition, the Court certified three subclasses. The first certified subclass—the “SAIF Subclass”—is defined as follows:

All participants of ERISA plans, as well as beneficiaries of those plans, who were invested directly or indirectly in the JPM Stable Asset Income Fund (“SAIF”) from between January 1, 2009 and December 31, 2010 and whose stable value fund investment underperformed the Hueler Index or similar objective benchmark. Excluded from the Class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

The second certified subclass—the “ACSAF/JP Morgan Stable Value Fund Subclass”—has a class period that begins on September 17, 2007. The ACSAF/JP Morgan Stable Value Fund Subclass is defined as follows:

All participants of ERISA plans, as well as beneficiaries of those plans, who were invested directly or indirectly in the American Century Stable Asset Fund [(“ACSAF”)] immediately before JPMAM took over the Fund and received its assets in the ACSAF/JPM Stable Value Fund on or about September 17, 2007 and continuing to December 31, 2010, and whose stable value fund investment underperformed the Hueler Index or similar objective benchmark. Excluded from the class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

The third certified subclass—the “Caterpillar Subclass”—is defined as follows:

All participants of the Caterpillar Plan, as well as beneficiaries of those plans, who were invested directly or indirectly in JPM’s Caterpillar Stable Principal Fund or any other JPM Stable Value Fund that invested in the Intermediate Bond Fund and/or the Intermediate Public Bond Fund between January 1, 2009 and December 31, 2010 and whose stable value fund investment performance underperformed the

Hueler index or similar objective benchmark. Excluded from the class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

The “objective benchmark” referenced in each of these definitions will be the Lehman Brothers Intermediate Aggregate Index (later renamed the Barclays Intermediate Aggregate Index) (hereafter the “Lehman/Barclays Intermediate Aggregate Index” or the “Benchmark”).

### 1. Why Did I Receive This Settlement Notice?

You received this Notice because records from the record keeper for your 401(k) plan show that you were a participant or beneficiary in a 401(k) plan and that your 401(k) plan account included investments in a JPMorgan stable value fund, and you otherwise may be part of the Class or one of the Subclasses, as explained above.

### 2. What Is The Class Action About?

In this Class Action, Plaintiffs principally allege that Defendants violated ERISA in two fundamental ways. First, Plaintiffs allege that JPMorgan managed Plaintiffs’ investments imprudently in violation of JPMorgan’s fiduciary duties, by causing its stable value funds to invest in two other JPMorgan funds, the Intermediate Bond Fund (“IBF”) and the Intermediate Public Bond Fund (“IPBF”), which, in turn, invested in risky, highly leveraged assets, including, among other things, mortgage-related assets. Second, Plaintiffs allege that certain Defendants, as fiduciaries for the relevant plans and their participants and beneficiaries, breached their obligations under ERISA to comply with the duties of prudence and diversification and to discharge their duties solely in the interests of plan participants and beneficiaries, and for the exclusive purpose of providing benefits to the plan participants and beneficiaries. Plaintiffs also claim that certain Defendants engaged in transactions prohibited by ERISA, and the ACSAF/JPM Stable Value Fund Subclass. Plaintiffs make additional claims against all Defendants for engaging in transactions prohibited by ERISA.

While none of the Plaintiffs or Class Members lost any of the money they invested in JPMorgan stable value funds, the Plaintiffs alleged that they were damaged because the investment return paid to Class Members would have been greater if the funds had been prudently managed.

Defendants have denied and continue to deny the claims and contentions of the Class Representatives. Defendants deny that they are liable at all to the Class, and that the Class has suffered any harm or damage for which Defendants could or should be held responsible. Nothing in the Settlement Agreement is an admission or concession on Defendants' part of any fault or liability whatsoever.

### 3. Why Is There A Settlement?

The Court has not reached a final decision on the merits of the Class Representatives' claims. Instead, a majority of the Class Representatives (the "Settling Class Representatives") and Defendants have agreed to the Settlement. The Settlement is the product of extensive negotiations between Co-Lead Class Counsel and Defendants' counsel and an in-person mediation session with a private mediator, followed by months of further negotiation. The parties to the Settlement have taken into account the uncertainty and risks of litigation and have concluded that it is desirable to settle on the terms and conditions set forth in the Settlement Agreement.

### 4. What Does The Settlement Provide?

The Net Settlement Amount will be allocated to Class Members according to a Plan of Allocation to be approved by the Court. Class Members fall into two categories: Current Participants and Former Participants, as defined on pages 2-3 above. Allocations to Current Participants who are entitled to a distribution under the Plan of Allocation will be made into their existing plan accounts in accord with their current investment elections. Allocations to Former Participants who are entitled to a distribution under the Plan of Allocation will be made by check mailed to their last known address or, if available and they so elect, as a rollover to a qualified retirement account.

In return for payment to the Class of the \$75,000,000 Settlement Amount, all Class Members will fully release the Defendants and the Released Parties from the Released Claims. The Released Parties include Defendants, any related entities, as well as any past attorneys and agents. The Released Claims include (but are not limited to) the following:

- All claims that were or could have been asserted in the Action, or that did or could arise out of the conduct alleged in the complaints filed in the Action.
- All claims that relate to any JPMorgan stable value fund investments, the holdings of any stable value fund investments, or disclosures regarding any stable value investments.
- All claims that relate to the direction to calculate, the calculation of, and/or the method or manner of allocation of the Net Settlement Fund pursuant to the Plan of Allocation.

This is only a summary of the Released Parties and Released Claims and is not a binding description of either. The governing releases are found within the Settlement Agreement at [www.jpmsvfclassaction.com](http://www.jpmsvfclassaction.com). Generally, the release means that Class Members will not have the

right to sue the Defendants or related parties for conduct during the Class Period arising out of or relating to the allegations in the Action.

The entire Settlement Agreement is available at [www.jpmsvfclassaction.com](http://www.jpmsvfclassaction.com).

## 5. How Much Will My Distribution Be?

The amount, if any, that will be allocated to you will be based upon records maintained by your employer's 401(k) plan's record keeper and your Former Participant Claim Form. Calculations regarding the individual distributions will be performed by the Settlement Administrator, whose determinations will be final and binding, pursuant to the Court-approved Plan of Allocation.

To be eligible for a distribution from the Net Settlement Amount, you must either be: (1) a member of the Class or one of the Subclasses, as defined in Section II above, who submitted a complete and satisfactory Claim Form by the deadline; or (2) a beneficiary, alternate payee, or attorney-in-fact of persons identified in (1) or (2).

Under the proposed Plan of Allocation, the Net Settlement Amount will be divided among all Class Members based upon the underperformance, if any, of each Class Member's JPMorgan stable value investment compared to the Lehman/Barclays Intermediate Aggregate Index. At the Fairness Hearing, the Court will be asked to approve this calculation process as part of the Plan of Allocation.

To make this allocation, the Settlement Administrator will first calculate the Individual Underperformance Amounts by comparing the underperformance, if any, of the JPM Stable Value Funds by comparing the crediting rate of the Plans the participants invested in, with a crediting rate derived from the Benchmark. If the calculation shows that a Plan did not experience any underperformance compared to the Benchmark then the participants in those Plans are not by definition Class Members because they did not suffer from any underperformance damages. For those Plans that experienced underperformance the second step will be for the Settlement Administrator to calculate the Individual Underperformance Amounts as the total dollar value amount by which each participant's individual investment in JPM Stable Value Funds underperformed the Benchmark during the relevant time periods. The specific method for calculating these amounts is detailed in the Settlement Agreement's Plan of Allocation. The sum of all Individual Underperformance Amounts is defined to be the "Total Underperformance Amount."

Once the Underperformance Amounts have been calculated, each Class Member's payment will be calculated by multiplying the Net Settlement Amount by the ratio of that Class Member's Individual Underperformance Amount to the Total Underperformance Amount. This will allow each individual Class Member to receive an allocation from the Net Settlement Amount proportional to the underperformance of his or her stable value investment compared with the underperformance of other Class Members' investments (all other things being equal). Plan of Allocation examples are available on the website, [www.jpmsvfclassaction.com](http://www.jpmsvfclassaction.com).



Class Counsel and the Settlement Administrator must review information from your 401(k) plan account to determine whether your investments in JPMorgan stable value funds underperformed as compared to the Benchmark. Any information Class Counsel obtains will be treated confidentially under the Protective Order entered by the Court in this Action and will be used solely for purposes of determining if you are a Class Member entitled to payment under the terms of the Settlement.

## 6. How Can I Receive My Distribution?

Whether you need to submit a claim form to receive your distribution depends on whether you are a “Current Participant” or a “Former Participant.” **According to your Plan’s record keeper, you are a Former Participant. In order to receive your distribution, you must return a valid and timely Former Participant Claim Form received by the Settlement Administrator no later than July 9, 2019. A Former Participant Claim Form is enclosed with this Notice.**

## 7. When Will I Receive My Distribution?

The timing of the distribution of the Net Settlement Amount is conditioned on several events, including the Court’s final approval of the Settlement and that approval being no longer subject to any appeal in any court. If there is an appeal of the final approval, it may take several years to resolve and the distribution of the Net Settlement Amount will be delayed until that process is complete. If the Settlement is approved by the Court, and there are no appeals, distribution of the Net Settlement Amount likely will occur in late-2019.

**Separately, there are provisions in the Settlement Agreement that allow the parties to terminate the Settlement under specific conditions. There will be no payments under the Settlement if the Settlement Agreement is terminated.**

**There will be no payment to you if the Settlement Administrator determines that your individual JPMorgan stable value investment did not suffer any Underperformance Amount when measured against the Benchmark.**

**If the amount you are allocated is less than the amount of the reasonable direct costs of processing and delivering your payment then no distribution will be made to you. For example, if your allocation is less than \$5 and the reasonable direct costs of processing the payment and delivery are greater than \$5, you would not be eligible to receive any payment under the Settlement.**

## 8. Can I Get Out Of The Settlement?

Yes, you have the right to exclude yourself from the Class and give up the right to your share of the Settlement Amount. Note: if you exclude yourself from the Class, you may not have a right to bring your own lawsuit due to ERISA’s six-year statute of repose. Class Counsel is of the opinion

that ERISA’s six-year statute of repose bars you from bringing your own lawsuit. You may consult your own lawyer to get advice on this issue at your own expense.

If you ask to be excluded, you won’t have a right to receive a payment from the Settlement. You will also not be bound by any judgment of the Court or the terms of the Settlement Agreement, including the release of your potential claims. However, under the United States Supreme Court’s ruling in *California Public Employees’ Retirement System v. ANZ Securities Inc.*, No. 16-373, 582 U.S. \_\_ (2017), your rights to prosecute any individual claims against the Defendants arising out of these transactions may have already expired due to ERISA’s six-year statute of repose.

To ask to be excluded from this proceeding, you must send an “Exclusion Request” by letter, expressly stating that you want to be excluded from the Class in *In re: J.P. Morgan Stable Value Fund ERISA Litigation*. Be sure to include your name and address, and sign the letter. You must mail your Exclusion Request to be received by the Settlement Administrator by July 9, 2019 at the following address:

JPM Stable Value Fund Litigation (Exclusions)  
c/o JND Class Action Administration  
P.O. Box 91325  
Seattle, WA 98111-9425

## 9. Do I Have A Lawyer In The Case?

The Court has appointed The Law Offices of Michael M. Mulder and Schneider Wallace Cottrell Konecky Wotkyns LLP to represent the Class as Co-Lead Class Counsel. Co-Lead Class Counsel are working jointly with and being assisted by the law firms of Levin Papantonio Thomas Mitchell Rafferty & Proctor, P.A; and Peiffer Rosca Wolf Abdullah Carr & Kane, APLC. If you want to be represented by your own lawyer, you may hire one at your own expense.

## 10. How Will The Lawyers Be Paid?

Co-Lead Class Counsel will file a petition for the award of attorneys’ fees and costs. This petition will be considered at the Fairness Hearing. Co-Lead Class Counsel has agreed to limit their application for an award of attorneys’ fees and costs to not more than \$25,000,000 in fees and \$1,750,000 in costs. The Court will determine what fees and costs will be approved.

## 11. How Do I Tell The Court If I Don’t Like The Settlement?

If you are a Class Member, you can tell the Court that you do not agree with the Settlement or some part of it. To object, you must send the Court a written statement that you object to the Settlement in *In re: J.P. Morgan Stable Value Fund ERISA Litigation*, Case No. 12-cv-02548. Be sure to include your name, address, telephone number, signature, and a full explanation of why you object to the Settlement. Your written objection must be mailed to each of the following addressees and received by the Court no later than July 9, 2019.

<u>THE COURT</u>	<u>CLASS COUNSEL</u>
Clerk of the Court United States District Court Southern District of New York Daniel Patrick Moynihan United States Court House 500 Pearl Street New York, NY 10007	Schneider Wallace Cottrell Konecky Wotkyns LLP Attn: JPM Stable Value Fund ERISA Litigation 2000 Powell Street, Suite 1400 Emeryville, CA 94608 counsel@jpmsvfclassaction.com Telephone: 1-833-222-1167

## 12. When And Where Will The Court Decide Whether To Approve The Settlement?

The Court will hold a Fairness Hearing at the U.S. District Court, Southern District of New York, 40 Foley Square, Courtroom 518, New York, NY 10007 on September 6, 2019 at 11:00 a.m., Eastern. Information regarding the hearing is posted on the website for the Settlement at [www.jpmsvfclassaction.com](http://www.jpmsvfclassaction.com).

At the Fairness Hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. If there are objections by Class Members, the Court will consider them. After the Fairness Hearing, the Court will decide whether to give its final approval to the Settlement. The Court also will consider the petition for Class Counsel's attorneys' fees and costs and any service awards to Class Representatives.

## 13. Do I Have To Attend The Fairness Hearing?

No, but you are welcome to come at your own expense. If you send an objection, you do not have to come to the Court to talk about it. As long as you have timely objected, the Court will consider it when the Court considers whether to approve the Settlement as fair, reasonable, and adequate. You also may pay your own lawyer to attend the Fairness Hearing, but such attendance is not necessary.

## 14. May I Speak At The Fairness Hearing?

If you are a Class Member, you may ask the Court for permission to speak at the Fairness Hearing. To do so, you must send a letter or other paper called a "Notice of Intention to Appear at Fairness Hearing in *In re: J.P. Morgan Stable Value Fund ERISA Litigation*, Case No. 12-cv-02548." Be sure to include your name, address, telephone number, and your signature. Your Notice of Intention to Appear must be mailed to Class Counsel and filed with the Clerk of the Court no later than **July 9, 2019**. (Your notice must be mailed to each of the addressees listed in the answer to Question No. 11).

Please note that only Class Members may speak at the Fairness Hearing. If you have chosen to exclude yourself from the Settlement, you are no longer a member of the Settlement Class and may not speak at the Fairness Hearing.

## 15. What Happens If I Do Nothing At All?

If you are a Class Member, and you do nothing, you will be bound by the Settlement as described above in this Settlement Notice if the Settlement is finally approved, **BUT YOU WILL NOT RECIEVE ANY MONEY. THE ONLY WAY TO RECEIVE ANY MONEY IS TO FILE A FORMER PARTICIPANT CLAIM FORM NO LATER THAN JULY 9, 2019.** (See Question No. 6.)

## 16. How Do I Get More Information?

This Settlement Notice does not fully describe all of the claims, defenses, or contentions of the parties. If you have questions about this Notice or the Action, please do not contact the Court. If you have questions regarding the Settlement, you can call Class Counsel at 1-833-222-1167, email Class Counsel at [counsel@jpmsvfclassaction.com](mailto:counsel@jpmsvfclassaction.com), call the Settlement Administrator at 1-844-877-5911, write to the Settlement Administrator at JPM Stable Value Fund Litigation, c/o JND Class Action Administration, P.O. Box 91324, Seattle, WA 98111-9424, or go to the Settlement website: [www.jpmsvfclassaction.com](http://www.jpmsvfclassaction.com) where you will find the Court's order certifying the Class, the Plaintiffs' Consolidated and Amended Complaint, the Defendants' Answer to the Consolidated and Amended Complaint, the Settlement Agreement, and information regarding the Fairness Hearing which will be held on September 6, 2019. Other filings with the Court and information regarding the Settlement are also available online.

This Settlement Notice was approved by order of the United States District Court for the Southern District of New York on March 13, 2019. A copy of the Court's order is available on the Settlement website at [www.jpmsvfclassaction.com](http://www.jpmsvfclassaction.com).

**ATTACHMENT 1**

<b>PLAN SPONSOR</b>	
1.	1888 MILLS, LLC
2.	A.A. ANDERSON CO., INC.
3.	ADVANCED MEDICAL OPTICS, INC.
4.	AGGREKO, LLC
5.	AJAX PAVING INDUSTRIES OF FLORIDA, INC.
6.	AJAX PAVING INDUSTRIES, INC.
7.	ALASKA TANKER COMPANY ATC
8.	ALCON LABS
9.	ALLERGAN INC
10.	ALM MEDIA INC.
11.	ANCHOR ACQUISITION, LLC
12.	AMERICAN CIVIL CONSTRUCTORS, INC.
13.	AMERICAN LIGHTING ASSOCIATION
14.	AMERICAN ROYAL ASSOCIATION
15.	AMERICAN TECHNOLOGIES, INC.
16.	ALLIANT ENERGY CORPORATE SERVICES, INC.
17.	AMERICAN ELECTRIC POWER SERVICE CORP. (AEP)
18.	ANDERSEN CORP.
19.	ANDREW CORP.
20.	ANGELO'S AGGREGATE MATERIALS, LTD.
21.	ANNA TRUCKING L.L.C.
22.	APAC PAPER & PACKAGING CORP.
23.	ARBOR MANAGEMENT, INC.
24.	ARCH CHEMICAL INC.
25.	AREA ENERGY & ELECTRIC, INC.
26.	ARGO GROUP US
27.	ARIZONA CHEMICAL COMPANY
28.	ARLINGTON METALS CORPORATION
29.	ASPHALT PAVING, INC.
30.	ASSOCIATED WHOLESALE GROCERS, INC.
31.	ASTEC INDUSTRIES, INC.
32.	ASTELLAS US LLC
33.	ATLANTIC SOUTHEAST AIRLINES, INC
34.	AUDIO AUTHORITY CORPORATION
35.	AUGUSTA NEWSPRINT COMPANY
36.	AVON PRODUCTS, INC.
37.	B.F. GOODRICH / GOODRICH / UNITED TECHNOLOGIES CORPORATION (UTC)
38.	BADGERLAND SUPPLY. INC.

	<b>PLAN SPONSOR</b>
39.	BAESMAN PRINTING CORPORATION
40.	BANK OF COMMERCE & TRUST COMPANY
41.	BECHTEL JACOBS COMPANY LLC / ENERGY EAST TECHNOLOGIES OMNIBUS PLAN / M&I OMNIBUS ACCOUNT
42.	BECK TOYOTA CORP.
43.	BEECHMONT PRESS, LLC
44.	BELK INC.
45.	BELL CORP.
46.	BEMIS COMPANY, INC.
47.	BERNARD, CASSISA, ELLIOTT AND DAVIS APLC
48.	BEST BUY
49.	BIGSTON CORPORATION
50.	BIOMEDICAL RESEARCH FOUNDATION
51.	BISON GEAR ENG. CORP
52.	BOC EDWARDS, INC.
53.	BOSE CORP.
54.	BRIGGS AND MORGAN P.A.
55.	BROCK MCVEY COMPANY
56.	BROOK FURNITURE RENTAL INC.
57.	BROOKSHIRE HOLDINGS, INC.
58.	BROWN AND CALDWELL
59.	BUCKEYE PIPE LINE SERVICES COMPANY
60.	BUNN-O-MATIC CORPORATION
61.	C.J. ENTERPRISES
62.	CANBERRA OAK RIDGE, LLC
63.	CARATRON INDUSTRIES, INC.
64.	CARGILL INC.
65.	CARL ZEISS VISION INC.
66.	CARR INDUSTRIES, INC.
67.	CARTER MACHINERY CO.
68.	CATERPILLAR INC.
69.	CDM FEDERAL SERVICES, INC.
70.	CELANESE (HOECHST CELANESE)
71.	CENTRALIZED LABORATORY SERVICES INC
72.	CERNER CORP.
73.	CHRISTIAN REFORMED CHURCH IN NORTH AMERICA
74.	CINCINNATI TOOL STEEL COMPANY
75.	CJ HUGHES CONSTRUCTION COMPANY
76.	CLARIAN HEALTH / INDIANA UNIV. HEALTH INC.
77.	CLASEN QUALITY COATINGS, INC.
78.	COLE HARDWOOD INC.

	<b>PLAN SPONSOR</b>
79.	COLLINS & AIKMAN PRODUCTS CO.
80.	COLONIAL PIPELINE CO.
81.	COLUMBIAN CHEMICALS COMPANY
82.	COMMODORE ADVANCE SCIENCES, INC.
83.	COMSYS IT PARTNERS INC.
84.	CONNING & COMPANY
85.	COOK COMPOSITES & POLYMERS COMPANY
86.	COOPERATIVE REGIONS OF ORGANIC PRODUCER POOLS COOPERATIVE, INC.
87.	CROWN EQUIPMENT CORP.
88.	CSK AUTO, INC.
89.	CUMMINS INC.
90.	CUSHMAN & WAKEFIELD, INC.
91.	DACO INCORPORATED
92.	DAKOTA CLINIC / INNOVIS HEALTH
93.	DEAN FOODS 401(K) PLAN(S)
94.	DETROIT LEGAL NEWS COMPANY
95.	DICK BARKER INC.
96.	DIRECT GENERAL CORPORATION
97.	DON R. FRUCHEY, INC.
98.	DONOHUE COMPANIES INC.
99.	DOTT INDUSTRIES, INC.
100.	DOWCO INC.
101.	DURATEK FEDERAL SERVICES, INC.
102.	E.D. BULLARD COMPANY
103.	EAGLE-PICHER CORPORATION
104.	EDEN STONE CO. INC.
105.	EDISON ELECTRIC INSTITUTE INC.
106.	EET CORPORATION
107.	ELI LILLY
108.	ELKAY MANUFACTURING COMPANY
109.	ELMER'S PRODUCTS INC.
110.	EMPIRE LEVEL MANUFACTURING CORP.
111.	ENERCON ENGINEERING, INC.
112.	ENERGY EAST CORP.
113.	ENERGY NORTHWEST
114.	ENERGY SERVICES OF AMERICA STAFF
115.	EP LOYA GROUP, L.P.
116.	ERICSSON INC.
117.	ETHAN ALLEN GLOBAL INC.
118.	E-S PLASTIC PRODUCTS INC.

	<b>PLAN SPONSOR</b>
119.	FATHER FLANAGAN'S BOYS' HOME
120.	FERRELL COMPANIES, INC.
121.	FERRO CORP.
122.	FISHER & COMPANY, INCORPORATED
123.	FITCH, INC.
124.	FIVE STAR DISTRIBUTING, INC.
125.	FONTANESI & KAHN
126.	FOREMOST FARMS USA
127.	FOREST LABORATORIES, INC.
128.	FLORIDA GAS TRANSMISSION COMPANY
129.	FLO-TORK, INC.
130.	FRANK W. KERR COMPANY
131.	FREEMPORT MCMORAN / PHELPS DODGE CORP.
132.	FRYER CO., INC. RETIREMENT SAVINGS PLAN & TRUST
133.	GARDNER DENVER, INC.
134.	GERLIN, INC. DBA CORE PIPE PRODUCTS, INC.
135.	GENERAC
136.	GENERAL MILLS
137.	GEO CONSULTANTS, LLC
138.	GHP OPERATING COMPANY LLC (GLOBAL HOME PRODUCTS)
139.	GILLETTE / PROCTER & GAMBLE
140.	GLENMARK INDUSTRIES INC.
141.	GREAT PLAINS ENERGY INCORPORATED / AQUILA CAPITAL PLAN
142.	GREEN DIAMOND RESOURCE COMPANY
143.	GREEN THUMB LAWNSCAPING, INC.
144.	GRINDMASTER CORPORATION
145.	GROESBECK LUMBER & SPPLY, INC.
146.	GZA GEOENVIRONMENTAL, INC.
147.	H&S TOOLS INC.
148.	HALEY & ALDRICH INC
149.	HAPAQ-LLOYD
150.	HARRIS PUBLICATIONS, INC.
151.	HARVEY M. ROSE ASSOCIATES, LLC
152.	HASBRO INC.
153.	HAYES LEMMERZ / HLI OPERATING COMPANY, INC.
154.	HEALTH INSURANCE PLAN OF GREATER NEW YORK
155.	HEART CITY AUTOMOTIVE, INC.
156.	HFI, LLC
157.	HIGH-TECH INSTITUTE, INC.



	<b>PLAN SPONSOR</b>
158.	HIP ADMINISTRATORS OF FLORIDA, INC. / EMBLEMHEALTH401K UNION EES / EMBLEMHEALTH SERVICES COMPANY, LLC / GROUP HEALTH INCORPORATED (GHI)
159.	HITACHI AMERICA LTD
160.	HOME DEPOT, INC.
161.	HORNBERGER, SHEEHAN, FULLER & BEITER, INC.
162.	HORNER ELECTRIC INC.
163.	HOSPIRA INC.
164.	HOSPIRA PUERTO RICO LLC
165.	HP HOOD LLC
166.	HUDSON, POTTS & BERNSTEIN L.L.P.
167.	HUGHES HUBBARD & REED LLP
168.	HUHTAMAKI AMERICAS, INC.
169.	IDEARC, INC.
170.	INDIANA DIMENSION, INC.
171.	INDUSTRIAL STEEL INC.
172.	INFINITY SYSTEMS ENGINEERING, LLC
173.	INFINITY TECHNOLOGY SERVICES, LLC
174.	INTERACTIVE DATA
175.	INTERMET CORP
176.	INTERNATIONAL PAPER COMPANY
177.	INTERNATIONAL RADIO & ELECTRONICS CORP 401(K)
178.	INTERPUBLIC GROUP OF COMPANIES (IPG)
179.	IPSOS AMERICA, INC.
180.	J. HORST MANUFACTURING CO. INC.
181.	JEFFERSON CHEVROLET CO.
182.	JETRO CASH & CARRY ENTERPRISES, LLC
183.	JOHN BURNS CONSTRUCTION COMPANY
184.	JOHNSON & QUIN, INC.
185.	JOHNSTOWN AREA REGIONAL INDUSTRIES, INC.
186.	JSJ CORPORATION
187.	KALIL BOTTLING CO.
188.	KEARFOTT GUIDANCE & NAVIGATION CORPORATION
189.	KEATING OF CHICAGO, INC.
190.	KELLY SERVICES INC.
191.	KEY TRONIC CORPORATION
192.	KULICKE & SOFFA INDUSTRIES, INC.
193.	KEY ENERGY SERVICES, INC.
194.	KEYSPAN ENERGY CORPORATION / NATIONAL GRID USA
195.	KOCH INDUSTRIES, INC.
196.	L.W. BARRETT CO., INC.

	<b>PLAN SPONSOR</b>
197.	LAMERS BUS LINES, INC.
198.	LAN-CON, INC.
199.	LEE ENTERPRISES INC.
200.	LEMAN USA INC.
201.	LIBBEY INC.
202.	LIGGETT GROUP INC.
203.	LINDE HOLDINGS, LLC
204.	LINDE GROUP / BOC GROUP
205.	LOCHMANDY MOTOR SALES, INC.
206.	MAGNETEK, INC.
207.	MARIAN, INC.
208.	MCCALLY TOOL & SUPPLY CO. 401(K) PLAN
209.	MCDONALD'S CORP.
210.	MCKENZIE MEMORIAL HOSPITAL
211.	MCWILLIAMS ELECTRIC COMPANY INC.
212.	M-D BUILDING PRODUCTS, INC.
213.	MDM SERVICES CORPORATION
214.	MEADOWBROOK DODGE, INC
215.	MERCHANTS MUTUAL INSURANCE COMPANY
216.	METAL-ERA, INC.
217.	MIAMI-LUKEN, INC.
218.	MIAMI VALLEY RESEARCH FOUNDATION
219.	MICHIGAN CORPORATE SERVICES, LLC
220.	MIKE RAISOR PONTIAC, INC.
221.	MILLBROOK EMPLOYEE LEASING CORP.
222.	MINDPEARL (US) INC.
223.	MIRAMED GLOBAL SERVICES, INC.
224.	MITSUBA BARDSTOWN, INC.
225.	MITSUBISHI MOTORS NORTH AMERICA, INC.
226.	MITTAL STEEL USA INC. / ARCELORMITTAL
227.	MODERN DROP FORGE CO.
228.	MOOG INC.
229.	MOORE FUNERAL HOME INC.
230.	MOVADO GROUP INC.
231.	NATIONAL DISTRIBUTING COMPANY, INC.
232.	NATIONAL HEALTH SYSTEMS INC.
233.	NATIONAL TANK COMPANY
234.	NAVISTAR
235.	NCL (BAHAMAS) LTD. D/B/A NCL
236.	NEFF ENGINEERING CO, INC.
237.	NETWORK EQUIPMENT TECHNOLOGIES INC.

	<b>PLAN SPONSOR</b>
238.	NEW CENTURY FINANCIAL CORP.
239.	NEWPAGE RETIREMENT SAVINGS PLAN
240.	NEWPORT SERVICE CORP
241.	NFT INCORPORATED
242.	NOVARTIS / CIBA-GEIGY CORP.
243.	NIBLOCK EXCAVATING, INC.
244.	NORTH COAST DISTRIBUTING, INC. / INDIANA BEVERAGE, INC. 401(K) PS
245.	NORTH COUNTRY MOBILE HOMES, INC.
246.	ODIN, FELDMAN, & PITTLEMAN, P.C.
247.	O'DONNELL WICKLUND PIGOZZI AND PETERSON INC.
248.	OLD DOMINION
249.	OLMARC PACKAGING COMPANY
250.	OLYMPUS CORPORATION OF THE AMERICAS
251.	OREGON ANESTHESIOLOGY GROUP, P.C.
252.	PACIFIC WESTERN TECHNOLOGIES, LTD.
253.	PACKAGING CORPORATION OF AMERICA (PCA) (HOURLY)
254.	PACKAGING CORPORATION OF AMERICA (PCA) (SALARIED)
255.	PARAGON COMPUTER PROFESSIONALS, INC.
256.	P-COR, LLC
257.	PDC FACILITIES, INC.
258.	PEARSON, INC.
259.	PENSION PLAN OF THE GOVERNMENT EMPLOYEES HOSPITAL ASSOCIATION INC.
260.	PENTON LEARNING SYSTEMS, L.L.C.
261.	PEROT SYSTEM CORP.
262.	PETERSEN ALUMINUM CORPORATION
263.	PIPEFITTERS LOCAL NO. 636
264.	POLSINELLI SHALTON WELTE SUELTHAUS P.C.
265.	PRINT AND CONVERTING RESOURCES, LLC
266.	PROCTER & GAMBLE CO.
267.	PROCTER & GAMBLE SUBSIDIARIES
268.	PROFESSIONAL PROJECT SERVICES, INC.
269.	PROFESSIONAL X-RAY CENTER PC
270.	R.A.P.E.L. INC.
271.	RAIA PROPERTIES CORPORATION
272.	REED & BARTON CORPORATION
273.	RELIANCE GEAR CORPORATION
274.	REPUBLIC NATIONAL DISTRIBUTING COMPANY
275.	RESCAR COMPANIES
276.	REYNOLDS & REYNOLDS
277.	RICHMOND METROPOLITAN CONVENTION & VISITORS

	<b>PLAN SPONSOR</b>
278.	ROBERT BOSCH
279.	ROGERS BROTHERS, INC.
280.	ROHR-TIPPE MOTORS, INC.
281.	ROPES & GRAY LLP
282.	ROSE PRINTING SERVICES, INC.
283.	ROTHBERG, LOGAN AND WARSCO LLP
284.	ROWLAND DESIGN, INC.
285.	ROY O. MARTIN LUMBER COMPANY, LLC
286.	RUSSELL STOVERS CANDIES, INC.
287.	RYDER SCOTT CO. L.P.
288.	S.E.L., INC.
289.	SAFETY AND ECOLOGY CORPORATION
290.	SAFETY VISION, L.P.
291.	SALT RIVER PROJECT AGRICULTURAL IMPROVEMENT AND POWER DISTRICT
292.	SCHIFF NUTRITION GROUP, INC.
293.	SCHWARZ PAPER COMPANY
294.	SCHWAN SHARED SERVICES, LLC
295.	SHAW ENVIRONMENTAL, INC.
296.	SEARLES VALLEY MINERALS
297.	SELECT INTERNATIONAL CORP.
298.	SEMITOOL, INC.
299.	SHAKLEE CORPORATION
300.	SIEMANS PERSONNEL, LLC
301.	SIMPSON INVESTMENT COMPANY
302.	SKAFF CARPET AND FURNITURE CO.
303.	SOUTHERN UNION COMPANY
304.	SPERION CORPORATION
305.	SPRINGS INDUSTRIES INC.
306.	SPRINGS WINDOW FASHIONS, LLC
307.	SR. CARE CENTERS MANAGEMENT, LLC
308.	SSW HOLDING COMPANY, INC.
309.	ST. JUDE MEDICAL, INC.
310.	STANDARD MOTOR PRODUCTS, INC.
311.	STANDARD PACIFIC CORP
312.	STARK DEVELOPMENT BOARD
313.	STAR MARKETS, LTD.
314.	STATE BANK OF LIZTON
315.	STEEL TECHNOLOGIES, INC.
316.	STERLING HEIGHTS DODGE INC.
317.	SUMMIT MEDICAL GROUP

	<b>PLAN SPONSOR</b>
318.	SUSQUEHANNA PFALTZGRAFF CO.
319.	SWISS INTERNATIONAL AIRLINES, LTD.
320.	SWISS RE AMERICA HOLDING CORP.
321.	SYPRIS SOLUTIONS, INC.
322.	TAYLOR CORP. INC.
323.	TAYLOR, PORTER, BROOKS, & PHILLIPS LLP
324.	TERREBONNE MOTOR COMPANY, INC.
325.	TETRA TECH, INC.
326.	TEXAS ENERGY ENGINEERS, INC.
327.	TFE, INC.
328.	TGW-ERMANCO INC.
329.	THE FITZPATRICK COMPANY
330.	THE L.D. KICHLER CO.
331.	THE LOXCREEN COMPANY, INC.
332.	THE MAYER ELECTRIC SUPPLY CO., INC
333.	THE MERCO GROUP, INC.
334.	THE NPD GROUP, INC.
335.	THERMATOOL CORPORATION
336.	TIMKEN CO. (SALARY)
337.	TIMKEN CO. (UNION)
338.	TITAN INTERNATIONAL INC.
339.	TOSHIBA TUNGALOY AMERICA, INC.
340.	TRACHTE INC.
341.	THETA TECHNOLOGIES, INC.
342.	THE TRANZONIC COMPANIES
343.	TREDEGAR CORPORATION
344.	TREE TOP, INC.
345.	TRIVEST SERVICE CORPORATION
346.	TRUSSAL AND COMPANY
347.	TS TECH HOLDING CO.
348.	UNITED BUSINESS MEDIA
349.	UNITED MINE WORKERS OF AMERICA (UMWA)
350.	UNITED STATES BRASS & COPPER
351.	URS GROUP, INC.
352.	USG CORP.
353.	UTILITIES INC.
354.	VALASSIS
355.	VENTURE ENCODING SERVICE, LLC
356.	VERITAS
357.	VIASAT, INC.
358.	VICO LOUISVILLE, LLC RETIREMENT SAVINGS PLAN

	<b>PLAN SPONSOR</b>
359.	VIJUK EQUIPMENT, INC.
360.	VULCAN IRON WORKS
361.	WASTREN, INC.
362.	WESKEM, LLC
363.	WHITE HOUSE MANUFACTURING CORP.
364.	WILLIAMS FORM ENGINEERING CORPORATION
365.	WILSON ELECTRIC COMPANY
366.	WILSONS THE LEATHER EXPERTS
367.	WINNEBAGO INDUSTRIES, INC.
368.	WINSTON HEAT TREATING, INC.
369.	WORLD BANK
370.	WSMS MID-AMERICA, LLC
371.	WSMS-MK, LLC
372.	YOKEM MOTORS, INC.