

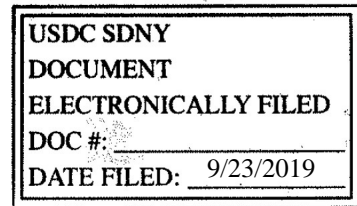
**IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK**

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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IN RE J.P. MORGAN STABLE VALUE
FUND ERISA LITIGATION
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Master File No. 12-CV-2548 (VSB)

ORDER



JUDGMENT

VERNON S. BRODERICK, United States District Judge:

IT IS HEREBY ORDERED AND ADJUDGED:

1. For the reasons and on terms stated in the Final Order Approving Class Action Settlement (“Final Order”), and on the terms set forth in the Second Amended Settlement Agreement dated August 8, 2018, (Doc. 441-1), and the Court’s Findings of Fact and Conclusions of Law Granting Plaintiffs’ Motion For Award of Attorney’s Fees, Costs, and Service Payments, Judgment is entered with respect to a Class comprised of the following, as well as the Subclasses described below:

All participants of ERISA plans, as well as beneficiaries of those plans, who were invested directly or indirectly in any JPM Stable Value Fund that invested in the JPM Intermediate Bond Fund and/or the Intermediate Public Bond Fund between January 1, 2009 and December 31, 2010 and whose stable value fund investment performance underperformed the Hueler index or similar objective benchmark. Excluded from the Class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related

to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

The SAIF Subclass:

All participants of ERISA plans, as well as beneficiaries of those plans, who were invested directly or indirectly in the JPM Stable Asset Income Fund (“SAIF”) from between January 1, 2009 and December 31, 2010 and whose stable value fund investment underperformed the Hueler Index or similar objective benchmark. Excluded from the Class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

The ACSAF/J.P. Morgan Stable Value Fund Subclass:

All participants of ERISA plans, as well as beneficiaries of those plans, who were invested directly or indirectly in the American Century Stable Asset Fund [(“ACSAF”)] immediately before JPMAM took over the Fund and received its assets in the ACSAF/JPM Stable Value Fund on or about September 17, 2007 and continuing to December 31, 2010, and whose stable value fund investment underperformed the Hueler Index or similar objective benchmark. Excluded from the class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related to or affiliated with any of the Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

The Caterpillar Subclass:

All participants of the Caterpillar Plan, as well as beneficiaries of those plans, who were invested directly or indirectly in JPM’s Caterpillar Stable Principal Fund or any other JPM Stable Value Fund that invested in the Intermediate Bond Fund and / or the Intermediate Public Bond Fund between January 1, 2009 and December 31, 2010 and whose stable value fund investment performance underperformed the Hueler index or similar objective benchmark. Excluded from the class are the jurists to whom this case is assigned, as well as their respective staffs; counsel who appear in this case, as well as their respective staffs, including experts they employ; the Defendants in this matter, as well as their officers and directors; any person, firm, trust, corporation, officer, director, or other individual or entity in which a Defendant has a controlling interest or that is related to or affiliated with any of the

Defendants; and the legal representatives, agents, affiliates, heirs, successors-in-interest, or assigns of any such excluded party.

2. The individuals listed in Exhibit "A" to this Judgment timely requested exclusion and are excluded from the Class and Subclasses.

3. Notice was properly directed to the Class.

4. The Court retains jurisdiction over this Settlement, as set forth in the Final Order.

5. The Court, finding that no reason exists for delay, directs the Clerk to enter this Judgment forthwith. This Judgment resolves all claims of all parties asserted in this action.

SO ORDERED.

Dated: September 23, 2019
New York, New York


Vernon S. Broderick
United States District Judge

EXHIBIT A

IN RE JPM STABLE VALUE FUND ERISA LITIGATION, CASE NO. 1:12-CV-02548-VSB

TIMELY EXCLUSIONS¹

	<u>NAME</u>
1.	Victor Francaviglia
2.	Ruby Joyce
3.	Charles Thurman
4.	Gregory Field
5.	Raymond Hoffman
6.	Sue Vollmer
7.	Patsy Kanusek
8.	Scott Brenner
9.	Frances Raaen
10.	Melvin Crouch
11.	Betty Breeding
12.	Mary Powers
13.	Lou Tracy
14.	John Voyes
15.	William Taylor

¹ The following three individuals submitted opt-out forms that were untimely and therefore these individuals are Settlement Class Members and are bound by the terms of the Settlement Agreement and the Final Order and Judgment: Dominic DiSalvo, Weiren Zhang and Paul Becker.

16.	Walter Meller
17.	Rebecca Inbody
18.	Michael Burden
19.	Kenneth Ferguson
20.	Delmi Castro
21.	Terry Hanner
22.	David Watt
23.	Ronald Rivera
24.	Patricia Michels
25.	Michael Crowe
26.	Linda Wiesehan
27.	Judith Woodbridge
28.	Loretta Ventura
29.	Jason Smith
30.	Roger Dixon
31.	Ginger McDonald
32.	Susan Banaszak
33.	Gordon Miller
34.	Ronald Lehman
35.	Earnest Byers
36.	Victorio Salazar
37.	Joseph Hobbs
38.	Sandra Keffer
39.	Scott Spem
40.	Christine Clever
41.	George Martinson

42.	Brenda Burrows David W. Burrows
43.	Linda Arwood
44.	Melanie Ellis
45.	Greg Bailey
46.	Dicky To
47.	Kerry Jones
48.	Troy Johnson
49.	Bjorn Zandin
50.	John Montgomery
51.	Cathy A Leonard
52.	Bruce A Campbell
53.	June A Williams
54.	Marc LaFlamme
55.	Frank Castaldi
56.	Joe Russom
57.	Roxanna Whitehead
58.	Rolanda Botello
59.	Vickie Burr
60.	George Feldbusch Jr
61.	David Long
62.	Maryann Neeser
63.	Charles Olson
64.	Wayne Culpepper
65.	Anthony Angioletti
66.	Lyle Richard Adams

67.	Wilbur Stewart
68.	Shirley Christopher
69.	Cheryl Marten
70.	Christopher Coyne
71.	Patsy Yaughn
72.	Takashi Nakamura
73.	James Cooper
74.	Gregory Boyce
75.	Gary Pearson
76.	Anna-Jo Quimby
77.	Russell Kalp
78.	Kenneth Sakai
79.	Denice Holmes
80.	Darlene Large for John C Dargis
81.	Donald Long
82.	Rachel Stump
83.	Ben Cooper
84.	Lucian Husar
85.	Susan Schwabe
86.	Frank Palmer Jr.
87.	Pamela Goldsborough
88.	Baerbel Housholder
89.	Charles Kuhtz